### IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF NEW MEXICO

#### FRANCISCO ALATORRE

Plaintiff,

No.

v.

NEW MEXICO STATE UNIVERSITY, ENRICO PONTELLI, and DENNIS GIEVER, as employees of New Mexico State University

Defendants.

#### **NOTICE OF REMOVAL**

Defendants New Mexico State University ("NMSU"), Enrico Pontelli, and Dennis Giever by and through counsel MYNATT MARTÍNEZ SPRINGER P.C. (Bradley A. Springer and Alan J. Dahl) hereby give notice of removal to this Court of the civil action filed in the Third Judicial District Court for the State of New Mexico, as Cause No. D-307-CV-2019-03322 and, in support of this removal, state as follows:

- 1. On December 26, 2019, Plaintiff Francisco Alatorre brought the above action alleging eight counts against the Defendants. A copy of the Complaint is attached hereto as "Exhibit A."
- 2. Count I of the Complaint alleges retaliation in violation of Title VII, citing 42 U.S.C. section 2000e-3(a). (*Id.*).
- 3. Count II alleges retaliation in violation of the Americans with Disabilities Act ("ADA"), citing 42 U.S.C. section 12203(a). (*Id.*).
- 4. Count III alleges retaliation in violation of the Family and Medical Leave Act ("FMLA"), citing 29 U.S.C. section 2615(a). (*Id.*).

- 5. Count IV alleges retaliation in violation of the New Mexico Whistleblower Protection Act ("NMWPA"), citing NMSA 1978, Section 10-16C-3. (*Id.*).
- 6. Count V alleges retaliation in violation of the New Mexico Human Rights Act ("NMHRA"), citing NMSA 1978, Section 28-1-7(I). (*Id.*).
- 7. Count VI alleges discrimination and interference with rights in violation of the ADA, citing 42 U.S.C. sections 12112(a) and 12203(b). (*Id.*).
- 8. Count VII alleges interference with rights in violation of the FMLA, citing 29 U.S.C. section 2615(a). (*Id.*).
- 9. Count VIII alleges discrimination in violation of the NMHRA, citing NMSA 1978, Sections 28-1-7(A) and (J). (*Id.*).

#### **Timeliness**

- 10. On January 17, 2020, Defendants accepted service of process from the Plaintiff.
- 11. Pursuant to 28 U.S.C. section 1446(b)(1), this *Notice of Removal* is timely filed within thirty days of receipt of service of process from the Plaintiff.

#### Basis for Removal of Counts I, II, III, VI, VII

- 12. Under 28 U.S.C. section 1331, federal district courts have original jurisdiction of all civil actions arising under the Constitution, laws or treaties of the United States.
- 13. Under 28 U.S.C. Section 1441(a), a civil action brought in a state court over which a federal district court has original jurisdiction may be removed by the defendants to the federal district court.
- 14. Because Counts I, II, III, VI, and VII of Plaintiff's Complaint all assert claims arising out of federal law, this Court has original jurisdiction over the claims and removal is proper.

#### Supplemental Jurisdiction

- 15. 28 U.S.C. section 1367(a) provides that, generally, in a civil action where the federal district courts have original jurisdiction, they will also have supplemental jurisdiction over all other claims sufficiently related to the claims giving original jurisdiction that they constitute the same case or controversy.
- 16. Under federal case law, a claim is part of the same case or controversy if it derives from a common nucleus of operative fact. *Price v. Wolford*, 608 F.3d 698, 702-03 (10th Cir. 2010).
- 17. Here, the Plaintiff has asserted claims for violation of New Mexico law in Counts IV, V, and VII of the Complaint. (See Ex. A).
- 18. Each of these state-law Counts arises from the common nucleus of operative facts giving rise to the above-mentioned federal claims and therefore are subject to the supplemental jurisdiction of this Court.

#### Attachments

- 19. Defendants, pursuant to 28 U.S.C. section 1446(a) and the Local Rules for the United States District Court for the District of New Mexico, have attached copies of all the pleadings filed in the state action hereto as "Exhibit B."
  - 20. Defendants have attached hereto the court docket as of January 29, 2020 as "Exhibit C."
  - 21. Defendants have attached hereto the Civil Cover Sheet as "Exhibit D."

WHEREFORE, Defendants respectfully request that this matter be removed in its entirety from New Mexico's Third Judicial District Court to this Court for consideration.

Respectfully submitted,

MYNATT MARTÍNEZ SPRINGER P.C.

BRADLEY A. SPRINGER New Mexico Bar No. 28225

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Attorneys for Defendants

#### **CERTIFICATE OF SERVICE**

I hereby certify that on this 30th day of January 2020, I filed the foregoing pleading electronically through the CM/ECF system, which caused the following counsel to be served by electronic means as more fully reflected on the Notice of Electronic Filing.

Erika E. Anderson LAW OFFICES OF ERIKA E. ANDERSON, LLC 2025 Rio Grande Blvd NW Albuquerque, NM 87104 erika@eandersonlaw.com Attorney for Plaintiff

BRADŁEY/A. SPRINGER

ALAN J. DAHL

STATE OF NEW MEXICO COUNTY OF DOÑA ANA COUNTY THIRD JUDICIAL DISTRICT COURT FILED
3rd JUDICIAL DISTRICT COURT
Dona Ana County
12/26/2019 2:08 PM
DAVID S. BORUNDA
CLERK OF THE COURT
Ashley L. Barreras

FRANCISCO ALATORRE

Plaintiff,

V.

No. D-307-CV-2019-03322

Beyer, Marci

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Defendants.

### CIVIL COMPLAINT FOR RETALIATION, DISCRIMINATION, AND INTERFERENCE WITH PROTECTED RIGHTS

COMES NOW Plaintiff, DR. FRANCISCO ALATORRE ("Plaintiff"), by and through his attorney, ERIKA E. ANDERSON, and for his Complaint states as follows:

#### NATURE OF THE COMPLAINT

- 1. This is an action against Defendants NEW MEXICO STATE UNIVERSITY (hereinafter "NMSU"), ENRICO PONTELLI (hereinafter "Pontelli"), and DENNIS GIEVER (hereinafter "Giever") (collectively, "Defendants"), for relief from retaliation in violation of Title VII of the Civil Rights Act of 1964 (hereinafter "Title VII"), the Americans with Disabilities Act (hereinafter "ADA"), the Family and Medical Leave Act (hereinafter "FMLA"), the New Mexico Whistleblower Protection Act (hereinafter "NMWPA"), and the New Mexico Human Rights Act (hereinafter "NMHRA); for discrimination and interference with rights in violation of the ADA and the NMHRA; and for interference with rights in violation of the FMLA.
- 2. Since 2015, Plaintiff has been subjected to deliberate and concerted retaliatory actions, discrimination, and interference with his rights by Defendants, because of Plaintiff's whistleblower

actions in reporting misconduct and participating in proceedings, Plaintiff's protected disability, and his attempts to secure reasonable accommodation of his disability.

3. Defendants' illegal actions include, but are not limited to, refusing and delaying Plaintiff's requests for reasonable accommodation of his disability, issuing factually inaccurate and disparaging reports about Plaintiff, denying Plaintiff a promotion and tenure, threatening Plaintiff with penalties including early termination, and, ultimately, terminating Plaintiff's employment.

#### **PARTIES**

- 4. Plaintiff was hired by NMSU as a tenure-track faculty in the Department of Criminal Justice (hereinafter "Department") of the College of Arts and Sciences (hereinafter "College") in August 2012.
- 5. Defendant NMSU is a public university, established under NMSA, §§ 21-8-1 through 21-8-44, and therefore is a public employer under the NMWPA, NMSA, §10-16C-2.
- 6. Defendant Pontelli was Interim Dean of the College of Arts and Sciences on February 18, 2016 to May of 2017 and then Dean of the College and a supervisor and manager of Plaintiff from February 18, 2016 to May 14, 2019.
- 7. Defendant Giever was Head of the Department of Criminal Justice on July 1, 2017 and was a supervisor and manager of Plaintiff from July 1, 2017 to May 14, 2019.

#### III. FACTS COMMON TO ALL COUNTS

- 8. Plaintiff has been diagnosed with the post-traumatic stress disorder. Based upon this diagnosis, he is entitled to protection from discrimination based on his disability and to the provision of reasonable accommodation of his disability at work under the Americans with Disabilities Act (ADA), 42 U.S.C. §§ 12111(9)(B), 12112(b)(5)(A).
- 9. Plaintiff's professional success and growth at NMSU is documented extensively with

reports from his Promotion and Tenure Committee (hereinafter "Committee"), Department Heads, appraisals, and peer and student evaluations.

- 10. In April of 2015, in response to an NMSU-ordered investigation of problems within the Department, the College hired external consultants (hereinafter "Consultants"), to investigate claims of a pervasive and hostile work environment in the Department. Department faculty members were "urged to participate" in the Consultants' investigation by the College Dean's office. During the Consultants' investigation, Plaintiff met with the Consultants multiple times and reported extensive problems occurring within the Department, including a pervasive and hostile work environment created by misconduct engaged in by Plaintiff's colleagues.
- Despite being discouraged by his Department Head to report any misconduct, Plaintiff fully cooperated with the Consultants' investigation and reported misconduct by other faculty members in direct violation of Title IX, 20 U.S.C. § 1681, unprofessional and hostile behavior amongst senior faculty toward junior faculty regarding tenure and funding, and curricular changes made against the students' best interests.
- 12. The Consultants' report produced findings of "the appearance of retaliation against members of the unit for raising questions" and a "consistent practice of denigration of colleagues among the faculty." The Consultants' report also recommended appointment of external mentoring Committees for all untenured faculty.
- 13. On April 17, 2015, in a meeting with then-Dean of the College Dr. Slaton, Plaintiff vocalized his fear of retaliation from other faculty members, stating that he was concerned that his transparency in reporting departmental and faculty misconduct would have negative repercussions on his promotion and tenure.
- 14. That same month, Plaintiff reported an incident between Dr. Keys, who is a male senior

faculty member, and a female graduate student to NMSU Office of Institutional Equity (hereinafter "OIE"). Plaintiff reported that Dr. Keys made crude and suggestive comments to the graduate student that were in direct violation of Title IX. Plaintiff was not present at the incident, but he reported it on behalf of the graduate student and in compliance with NMSU policy. Plaintiff also reported this incident to former Dean Slaton and the Consultants.

- 15. Dr. Keys was a member of Plaintiff's Committee from 2012 until 2017 and responsible for determining whether Plaintiff would be promoted and granted tenure at NMSU. Fearing retaliation for his reporting, Plaintiff requested from the Dean and Department Head a transfer, a midprobation review, and a new set of external mentors for his Committee. An external Committee was appointed.
- 16. On April 29, 2015, Dr. Keys sent an email to several faculty members, including Plaintiff, recommending termination of faculty within the Department, and implying that Plaintiff be terminated for reporting misconduct.
- 17. In May of 2015, Plaintiff assisted in an investigation regarding discriminatory behavior by Department faculty members, including Dr. Keys. Dr. Keys was aware of Plaintiff's assistance in the investigation and Plaintiff's reports of misconduct, including reports directly against Dr. Keys.
- 18. In November of 2015, Plaintiff testified in an OIE case between then-Department Head Dr. Posadas and another member of Department faculty. At the time, an OIE staff member told Plaintiff that Plaintiff was the only witness in the case and that Dr. Posadas would have access to his testimony.
- 19. In March of 2016, Dr. Keys requested that Plaintiff support Dr. Keys' efforts to reinstate Dr. Posadas as Department Head, in clear contradiction of the recommendations of the Consultants' report, but Plaintiff declined.

- 20. In April of 2016, Defendant Pontelli, who had meanwhile been appointed Interim Dean of the College, dismissed the external Committee and re-appointed Dr. Keys to the Committee, despite having been told of Plaintiff's fears of retaliation from Dr. Keys.
- 21. In May of 2016, less than two (2) months after Plaintiff refused to sign Dr. Keys' letter and just two (2) weeks after Dr. Keys was reinstated to Plaintiff's Committee, the Committee issued a report misrepresenting and disparaging Plaintiff's scholarship work, showing a drastic change from previous years' reports. Six (6) pieces, however, were omitted from the P & T Committee evaluation, two (2) pieces were omitted from the 2017 P & T Committee evaluation, seven (7) pieces were omitted from Dr. Amato's May 2017 Department Head assessment, three (3) pieces were omitted from the final (November 2017) P & T Committee evaluation, three (3) pieces omitted from Dr. Giever's November 2017 Department Head assessment, eight (8) pieces omitted from the December 2017 College of Arts and Sciences Faculty Affairs Committee evaluation and eight (8) pieces from Dean Pontelli's January 2018 evaluation. In response, the new Department Head, Dr. Ketelaar, issued an independent evaluation of Plaintiff's promotion and tenure candidacy, indicating that Dr. Ketelaar had trouble understanding or endorsing the Committee's concerns because they were so contradictory to previous years' positive reports about Plaintiff's performance.
- With Dr. Keys as chair, the Committee issued their 2016 and 2017 reports on Plaintiff in May of 2016 and March of 2017. The 2016 report omitted six (6) pieces of Plaintiff's scholarly achievement that had been previously acknowledged in the 2013, 2014 and 2015 reports. The 2017 report also omitted two (2) additional pieces of Plaintiff's scholarly achievement, for a total of eight (8) omissions. Immediately after the Committee issued its 2016 and 2017 reports, Plaintiff reached out to Defendant Pontelli with concerns of retaliation.

- 23. The omissions in the Committee's 2016 and 2017 reports were an inconsistent application of NMSU and College policies, including the *Administrative Rules and Procedures of Promotion and Tenure Policies and Procedures* and the *New Mexico State University Department of Criminal Justice Functions and Criteria for Faculty Evaluation, Promotion and Tenure*.
- 24. With Defendant Pontelli's knowledge, in June 2017, Plaintiff filed three (3) grievances with the OIE for faculty misconduct against Dr. Keys and other faculty members, alleging unlawful discriminatory behavior, including retaliation and discrimination on the basis of disability, national origin, ancestry and race.
- 25. In August of 2017, Defendant Giever, who had meanwhile been appointed Department Head, became aware of Plaintiff's protected disability when Plaintiff requested accommodation of it from Defendant Giever. Defendant Giever, however, indicated that he would not grant Plaintiff's request for accommodation. Accordingly, Plaintiff was forced to submit a formal ADA Petition for Accommodation to the OIE in October of 2017.
- 26. In November 2017, Defendant Giever provided Plaintiff with his Department Head Appraisal for Academic Year August 15, 2016 through August 13, 2017. In his appraisal, Defendant Giever did not follow NMSU's policy regarding allocation of effort. Rather than assessing Plaintiff based upon the approved allocation of effort form, Defendant Giever established new rules and made decisions with retroactive effect, resulting in an unreasonable, unfair, and biased appraisal.
- 27. The OIE did not respond to Plaintiff's formal request for accommodation of his disability, although Plaintiff's medical provider was actively engaged with the OIE and provided appropriate documentation clarifying Plaintiff's needs.
- 28. On January 17, 2018, Plaintiff was forced to take leave under the FMLA, 29 U.S.C. § 2611

et seq., until March 22, 2018.

- 29. On January 22, 2018, just five (5) days after Plaintiff began his FMLA leave, Defendant Pontelli informed Plaintiff that neither Defendant Pontelli nor the Committee would support Plaintiff's application for promotion and tenure.
- 30. On March 14, 2018, nearly six (6) months after Plaintiff submitted the accommodation request to the OIE and nearly eight (8) months after Plaintiff first requested accommodation from Defendant Giever, the OIE granted Plaintiff's accommodation request, but indicated that it would only be fulfilled in the Fall 2018 semester.
- 31. Two (2) weeks later, on March 27, 2018, Defendant Giever notified Plaintiff that Plaintiff would no longer instruct the Spring 2018 CJ591 Directed Readings course.
- 32. On May 2, 2018, Plaintiff received notification that his contract would not be renewed and that his final date of employment with NMSU would be May 14, 2019.
- One week later, on May 9, 2018, Defendant Giever threatened Plaintiff with disciplinary action, including earlier termination of employment, unless Plaintiff signed a 2017-2018 allocation of effort form. Plaintiff refused to sign the form, because it falsely listed Plaintiff's teaching allocation as zero percent (0%) for the Spring 2018 semester. In fact, during the Spring 2018 semester, Plaintiff had been assigned two (2) courses, for a total course load of ten percent (10%), even though Plaintiff was on FMLA leave for a portion of that semester. Plaintiff informed Defendant Giever multiple times that signing the form with a 0% teaching allocation would be fraudulent and would misrepresent Plaintiff's efforts for the academic year.
- 34. In August of 2018, Plaintiff requested reasonable accommodation for the Spring 2019 semester, which the OIE only approved in November 2018. Again, despite Plaintiff's and his medical provider's active engagement and prompt responses to requests by the OIE for appropriate

documentation regarding Plaintiff's needs, the OIE again failed to respond expeditiously and created unreasonable delays that put an undue burden on Plaintiff's attempts to work despite his protected disability.

- 35. On May 14, 2019, Plaintiff's contract with NMSU ended. As of the date of termination of Plaintiff's employment with NMSU, Plaintiff's OIE claim against Dr. Keys and other faculty members for retaliation and discrimination was still pending.
- 36. Prior to filing this action, Plaintiff filed a timely administrative complaint with the New Mexico Human Rights Bureau, which issued a right-to-sue letter to Plaintiff.

### COUNT I Retaliation in Violation of Title VII, 42 U.S.C. § 2000e-3(a)

- 37. The allegations set forth above are re-alleged and incorporated herein by reference.
- 38. Defendants have engaged in numerous unlawful retaliatory practices against Plaintiff in violation of Title VII, 42 U.S.C. § 2000e-3(a), because he reported discriminatory practices against himself and others, communicated his concerns to management, and participated in investigations of discriminatory conduct.
- 39. Defendants unlawfully retaliated against Plaintiff by issuing factually inaccurate and disparaging reports on his scholarship work, denying Plaintiff promotion and tenure, and terminating his employment.

### COUNT II Retaliation in Violation of the ADA, 42 U.S.C. § 12203(a)

- 40. The allegations set forth above are re-alleged and incorporated herein by reference.
- 41. Defendants have engaged in numerous unlawful retaliatory practices against Plaintiff in violation of the ADA, 42 U.S.C. § 12203(a), because he requested reasonable accommodation for his protected disability and was forced to take medical leave.

8

- 42. Defendants retaliated against Plaintiff when Defendant Giever refused Plaintiff's accommodation request, although Defendant Giever had been aware of Plaintiff's need for accommodation since August of 2017, and the NMSU OIE refused to deal with the request expeditiously. Moreover, just five (5) days after Plaintiff was forced to take medical leave, Defendant Pontelli informed Plaintiff that neither Defendant Pontelli nor the Committee would support Plaintiff's application for promotion and tenure.
- 43. Additionally, Defendant Giever took away one of Plaintiff's usual courses just five (5) days after Plaintiff returned from medical leave. In connection with Plaintiff's workload during the semester in which Plaintiff took medical leave, Defendant Giever also demanded that Plaintiff sign a falsified allocation of effort form misrepresenting Plaintiff's workload. Finally, Defendants unlawfully terminated Plaintiff's employment.

### COUNT III Retaliation in Violation of the FMLA, 29 U.S.C. § 2615(a)

- 44. The allegations set forth above are re-alleged and incorporated herein by reference.
- 45. Defendants have engaged in unlawful retaliatory practices against Plaintiff in violation of the FMLA, 29 U.S.C. § 2615(a), because Plaintiff opposed Defendant Giever's unlawful demand that Plaintiff falsify a report on Plaintiff's conditions of employment, namely Plaintiff's workload during the Spring 2018 semester following Plaintiff's return from FMLA leave.
- 46. Defendant Giever retaliated against Plaintiff by threatening Plaintiff with disciplinary action, including earlier termination of employment.

### COUNT IV Retaliation in Violation of the NMWPA, NMSA, §10-16C-3

- 47. The allegations set forth above are re-alleged and incorporated herein by reference.
- 48. Defendants have engaged in numerous unlawful retaliatory practices against Plaintiff in

violation of the NMWPA, NMSA, § 10-16C-3, because Plaintiff communicated information to Defendants and the Consultants and testified before the NMSU OIE about actions and failures to act that Plaintiff in good faith believed to be unlawful or improper acts. Additionally, Plaintiff refused to obey Defendant Giever's demand that Plaintiff falsely report his allocation of effort for the Spring 2018 semester.

49. In retaliation for these protected acts, the Committee issued an inaccurate and disparaging report on Plaintiff's scholarship work and subjected Plaintiff to unfavorable treatment through inconsistent application of NMSU policies and procedures. Further, Defendant Pontelli's decisions to ignore Plaintiff's concerns regarding a hostile work environment and retaliatory behavior of his peers affected Plaintiff's promotion and tenure process, which ultimately resulted in the denial of Plaintiff's promotion and tenure and his termination of employment.

### COUNT V Retaliation in Violation of the NMHRA, NMSA, § 28-1-7(I)

- 50. The allegations set forth above are re-alleged and incorporated herein by reference.
- Defendants engaged in unlawful retaliatory practices against Plaintiff in violation of the NMHRA, NMSA, § 28-1-7(I), because Plaintiff opposed Defendants' unlawful discriminatory practices and filed complaints reporting those practices.
- 52. Defendants unlawfully retaliated against Plaintiff by issuing factually inaccurate and disparaging reports on his scholarship work, denying Plaintiff promotion and tenure, and terminating his employment.

# COUNT VI Discrimination and Interference with Rights in Violation of the ADA, 42 U.S.C. §§ 12112(a) and 12203(b)

- 53. The allegations set forth above are re-alleged and incorporated herein by reference.
- 54. Defendants discriminated against Plaintiff in violation of the ADA, 42 U.S.C. § 12112(a),

by limiting Plaintiff's workload, his status, and ultimately discharging him because of his disability.

- Defendants informed Plaintiff of the denial of Plaintiff's promotion and tenure just five (5) days after Plaintiff went on medical leave. Defendants also removed one of Plaintiff's courses for the Spring 2018 semester just five (5) days after Plaintiff returned to work following his medical leave.
- Additionally, Defendants demanded that Plaintiff falsely report zero percent (0%) class load for the entire Spring 2018 semester, even though Plaintiff was on medical leave for only a portion of that semester. Finally, Defendants terminated Plaintiff's employment.
- 57. Further, Defendants interfered with Plaintiff's ADA rights in violation of 42 U.S.C. § 12203(b) by unreasonably obstructing and delaying Plaintiff's attempts to obtain reasonable accommodation for his disability.
- Despite Plaintiff's repeated requests for reasonable accommodation for his protected disability, he had to wait for approval nearly six (6) months after submitting the request to the OIE and nearly eight (8) months after he first made the request to Defendant Giever.
- 59. Finally, the OIE did not actually provide the accommodation until the Fall 2018 semester, nearly one year after Plaintiff's OIE request.

### COUNT VII Interference with Rights in Violation of the FMLA, 29 U.S.C. § 2615(a)

- 60. The allegations set forth above are re-alleged and incorporated herein by reference.
- Defendants interfered with Plaintiff's FMLA rights in violation of 29 U.S.C. § 2615(a) by refusing to restore his previous conditions of employment upon his return from medical leave. As noted above, Defendants removed one of Plaintiff's courses for the Spring 2018 semester just five (5) days after Plaintiff returned to work following his medical leave.

62. Defendants also demanded that Plaintiff falsely report a zero percent (0%) class load for the entire Spring 2018 semester, even though Plaintiff was on medical leave for only a portion of that semester. Finally, Defendants terminated Plaintiff's employment.

### COUNT VIII Discrimination in Violation of the NMHRA, NMSA, §§ 28-1-7(A) and (J)

- 63. The allegations set forth above are re-alleged and incorporated herein by reference.
- 64. Defendants unlawfully discriminated against Plaintiff in violation of the NMHRA, NMSA, § 28-1-7(A), by discriminating against him because of his serious medical condition.
- Defendants informed Plaintiff of the denial of Plaintiff's promotion and tenure just five (5) days after Plaintiff went on medical leave. Defendants also removed one of Plaintiff's courses for the Spring 2018 semester just five (5) days after Plaintiff returned to work following his medical leave.
- Additionally, Defendants demanded that Plaintiff falsely report zero percent (0%) class load for the entire Spring 2018 semester, even though Plaintiff was on medical leave for only a portion of that semester. Further, Defendants terminated Plaintiff's employment.
- 67. Additionally, Defendants violated the NMHRA, NMSA, § 28-1-7(J), by refusing and failing to accommodate Plaintiff's serious medical condition.
- 68. Despite Plaintiff's repeated requests for reasonable accommodation for his protected disability, he had to wait for approval nearly six (6) months after submitting the request to the OIE and nearly eight (8) months after he first made the request to Defendant Giever.
- 69. Further, the OIE did not actually provide the accommodation until the Fall 2018 semester, nearly one year after Plaintiff's OIE request.

#### PRAYER FOR RELIEF

WHEREFORE, on each and every count of this Complaint, Plaintiff requests that the Court

enter judgment in his favor and against Defendants as follows:

70. Award all appropriate monetary and equitable relief, including the value of lost

employment benefits, to Plaintiff, in an amount to be determined at trial in order to make him

whole for the losses he suffered as a result of the illegal conduct alleged in this Complaint;

71. Award Plaintiff any prejudgment interest on the amount of lost wages and benefits

determined to be due;

72. Award compensatory damages to Plaintiff to fully compensate him for the pain and

suffering caused by the illegal conduct alleged in this Complaint;

73. Award such additional relief as justice may require, together with Plaintiff's costs and

disbursements in this action;

74. Award Plaintiff punitive damages; and

75. Such further relief as the Court deems proper and the law allows.

Respectfully submitted,

LAW OFFICES OF ERIKA E. ANDERSON, LLC

By: <u>/s/ Erika E. Anderson</u>

Erika E. Anderson 2025 Rio Grande Blvd. NW Albuquerque, NM 87104

(505) 944-9039

erika@eandersonlaw.com

Attorney for Plaintiff

13

STATE OF NEW MEXICO COUNTY OF DOÑA ANA COUNTY THIRD JUDICIAL DISTRICT COURT FILED
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### CIVIL COMPLAINT FOR RETALIATION, DISCRIMINATION, AND INTERFERENCE WITH PROTECTED RIGHTS

COMES NOW Plaintiff, DR. FRANCISCO ALATORRE ("Plaintiff"), by and through his attorney, ERIKA E. ANDERSON, and for his Complaint states as follows:

#### NATURE OF THE COMPLAINT

- 1. This is an action against Defendants NEW MEXICO STATE UNIVERSITY (hereinafter "NMSU"), ENRICO PONTELLI (hereinafter "Pontelli"), and DENNIS GIEVER (hereinafter "Giever") (collectively, "Defendants"), for relief from retaliation in violation of Title VII of the Civil Rights Act of 1964 (hereinafter "Title VII"), the Americans with Disabilities Act (hereinafter "ADA"), the Family and Medical Leave Act (hereinafter "FMLA"), the New Mexico Whistleblower Protection Act (hereinafter "NMWPA"), and the New Mexico Human Rights Act (hereinafter "NMHRA); for discrimination and interference with rights in violation of the ADA and the NMHRA; and for interference with rights in violation of the FMLA.
- 2. Since 2015, Plaintiff has been subjected to deliberate and concerted retaliatory actions, discrimination, and interference with his rights by Defendants, because of Plaintiff's whistleblower

actions in reporting misconduct and participating in proceedings, Plaintiff's protected disability, and his attempts to secure reasonable accommodation of his disability.

3. Defendants' illegal actions include, but are not limited to, refusing and delaying Plaintiff's requests for reasonable accommodation of his disability, issuing factually inaccurate and disparaging reports about Plaintiff, denying Plaintiff a promotion and tenure, threatening Plaintiff with penalties including early termination, and, ultimately, terminating Plaintiff's employment.

#### **PARTIES**

- 4. Plaintiff was hired by NMSU as a tenure-track faculty in the Department of Criminal Justice (hereinafter "Department") of the College of Arts and Sciences (hereinafter "College") in August 2012.
- 5. Defendant NMSU is a public university, established under NMSA, §§ 21-8-1 through 21-8-44, and therefore is a public employer under the NMWPA, NMSA, §10-16C-2.
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- 7. Defendant Giever was Head of the Department of Criminal Justice on July 1, 2017 and was a supervisor and manager of Plaintiff from July 1, 2017 to May 14, 2019.

#### III. FACTS COMMON TO ALL COUNTS

- 8. Plaintiff has been diagnosed with the post-traumatic stress disorder. Based upon this diagnosis, he is entitled to protection from discrimination based on his disability and to the provision of reasonable accommodation of his disability at work under the Americans with Disabilities Act (ADA), 42 U.S.C. §§ 12111(9)(B), 12112(b)(5)(A).
- 9. Plaintiff's professional success and growth at NMSU is documented extensively with

reports from his Promotion and Tenure Committee (hereinafter "Committee"), Department Heads, appraisals, and peer and student evaluations.

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- Despite being discouraged by his Department Head to report any misconduct, Plaintiff fully cooperated with the Consultants' investigation and reported misconduct by other faculty members in direct violation of Title IX, 20 U.S.C. § 1681, unprofessional and hostile behavior amongst senior faculty toward junior faculty regarding tenure and funding, and curricular changes made against the students' best interests.
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faculty member, and a female graduate student to NMSU Office of Institutional Equity (hereinafter "OIE"). Plaintiff reported that Dr. Keys made crude and suggestive comments to the graduate student that were in direct violation of Title IX. Plaintiff was not present at the incident, but he reported it on behalf of the graduate student and in compliance with NMSU policy. Plaintiff also reported this incident to former Dean Slaton and the Consultants.

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- 19. In March of 2016, Dr. Keys requested that Plaintiff support Dr. Keys' efforts to reinstate Dr. Posadas as Department Head, in clear contradiction of the recommendations of the Consultants' report, but Plaintiff declined.

- 20. In April of 2016, Defendant Pontelli, who had meanwhile been appointed Interim Dean of the College, dismissed the external Committee and re-appointed Dr. Keys to the Committee, despite having been told of Plaintiff's fears of retaliation from Dr. Keys.
- 21. In May of 2016, less than two (2) months after Plaintiff refused to sign Dr. Keys' letter and just two (2) weeks after Dr. Keys was reinstated to Plaintiff's Committee, the Committee issued a report misrepresenting and disparaging Plaintiff's scholarship work, showing a drastic change from previous years' reports. Six (6) pieces, however, were omitted from the P & T Committee evaluation, two (2) pieces were omitted from the 2017 P & T Committee evaluation, seven (7) pieces were omitted from Dr. Amato's May 2017 Department Head assessment, three (3) pieces were omitted from the final (November 2017) P & T Committee evaluation, three (3) pieces omitted from Dr. Giever's November 2017 Department Head assessment, eight (8) pieces omitted from the December 2017 College of Arts and Sciences Faculty Affairs Committee evaluation and eight (8) pieces from Dean Pontelli's January 2018 evaluation. In response, the new Department Head, Dr. Ketelaar, issued an independent evaluation of Plaintiff's promotion and tenure candidacy, indicating that Dr. Ketelaar had trouble understanding or endorsing the Committee's concerns because they were so contradictory to previous years' positive reports about Plaintiff's performance.
- With Dr. Keys as chair, the Committee issued their 2016 and 2017 reports on Plaintiff in May of 2016 and March of 2017. The 2016 report omitted six (6) pieces of Plaintiff's scholarly achievement that had been previously acknowledged in the 2013, 2014 and 2015 reports. The 2017 report also omitted two (2) additional pieces of Plaintiff's scholarly achievement, for a total of eight (8) omissions. Immediately after the Committee issued its 2016 and 2017 reports, Plaintiff reached out to Defendant Pontelli with concerns of retaliation.

- 23. The omissions in the Committee's 2016 and 2017 reports were an inconsistent application of NMSU and College policies, including the *Administrative Rules and Procedures of Promotion and Tenure Policies and Procedures* and the *New Mexico State University Department of Criminal Justice Functions and Criteria for Faculty Evaluation, Promotion and Tenure*.
- 24. With Defendant Pontelli's knowledge, in June 2017, Plaintiff filed three (3) grievances with the OIE for faculty misconduct against Dr. Keys and other faculty members, alleging unlawful discriminatory behavior, including retaliation and discrimination on the basis of disability, national origin, ancestry and race.
- 25. In August of 2017, Defendant Giever, who had meanwhile been appointed Department Head, became aware of Plaintiff's protected disability when Plaintiff requested accommodation of it from Defendant Giever. Defendant Giever, however, indicated that he would not grant Plaintiff's request for accommodation. Accordingly, Plaintiff was forced to submit a formal ADA Petition for Accommodation to the OIE in October of 2017.
- 26. In November 2017, Defendant Giever provided Plaintiff with his Department Head Appraisal for Academic Year August 15, 2016 through August 13, 2017. In his appraisal, Defendant Giever did not follow NMSU's policy regarding allocation of effort. Rather than assessing Plaintiff based upon the approved allocation of effort form, Defendant Giever established new rules and made decisions with retroactive effect, resulting in an unreasonable, unfair, and biased appraisal.
- 27. The OIE did not respond to Plaintiff's formal request for accommodation of his disability, although Plaintiff's medical provider was actively engaged with the OIE and provided appropriate documentation clarifying Plaintiff's needs.
- 28. On January 17, 2018, Plaintiff was forced to take leave under the FMLA, 29 U.S.C. § 2611

et seq., until March 22, 2018.

- 29. On January 22, 2018, just five (5) days after Plaintiff began his FMLA leave, Defendant Pontelli informed Plaintiff that neither Defendant Pontelli nor the Committee would support Plaintiff's application for promotion and tenure.
- 30. On March 14, 2018, nearly six (6) months after Plaintiff submitted the accommodation request to the OIE and nearly eight (8) months after Plaintiff first requested accommodation from Defendant Giever, the OIE granted Plaintiff's accommodation request, but indicated that it would only be fulfilled in the Fall 2018 semester.
- 31. Two (2) weeks later, on March 27, 2018, Defendant Giever notified Plaintiff that Plaintiff would no longer instruct the Spring 2018 CJ591 Directed Readings course.
- 32. On May 2, 2018, Plaintiff received notification that his contract would not be renewed and that his final date of employment with NMSU would be May 14, 2019.
- One week later, on May 9, 2018, Defendant Giever threatened Plaintiff with disciplinary action, including earlier termination of employment, unless Plaintiff signed a 2017-2018 allocation of effort form. Plaintiff refused to sign the form, because it falsely listed Plaintiff's teaching allocation as zero percent (0%) for the Spring 2018 semester. In fact, during the Spring 2018 semester, Plaintiff had been assigned two (2) courses, for a total course load of ten percent (10%), even though Plaintiff was on FMLA leave for a portion of that semester. Plaintiff informed Defendant Giever multiple times that signing the form with a 0% teaching allocation would be fraudulent and would misrepresent Plaintiff's efforts for the academic year.
- 34. In August of 2018, Plaintiff requested reasonable accommodation for the Spring 2019 semester, which the OIE only approved in November 2018. Again, despite Plaintiff's and his medical provider's active engagement and prompt responses to requests by the OIE for appropriate

documentation regarding Plaintiff's needs, the OIE again failed to respond expeditiously and created unreasonable delays that put an undue burden on Plaintiff's attempts to work despite his protected disability.

- 35. On May 14, 2019, Plaintiff's contract with NMSU ended. As of the date of termination of Plaintiff's employment with NMSU, Plaintiff's OIE claim against Dr. Keys and other faculty members for retaliation and discrimination was still pending.
- 36. Prior to filing this action, Plaintiff filed a timely administrative complaint with the New Mexico Human Rights Bureau, which issued a right-to-sue letter to Plaintiff.

### COUNT I Retaliation in Violation of Title VII, 42 U.S.C. § 2000e-3(a)

- 37. The allegations set forth above are re-alleged and incorporated herein by reference.
- 38. Defendants have engaged in numerous unlawful retaliatory practices against Plaintiff in violation of Title VII, 42 U.S.C. § 2000e-3(a), because he reported discriminatory practices against himself and others, communicated his concerns to management, and participated in investigations of discriminatory conduct.
- 39. Defendants unlawfully retaliated against Plaintiff by issuing factually inaccurate and disparaging reports on his scholarship work, denying Plaintiff promotion and tenure, and terminating his employment.

### COUNT II Retaliation in Violation of the ADA, 42 U.S.C. § 12203(a)

- 40. The allegations set forth above are re-alleged and incorporated herein by reference.
- 41. Defendants have engaged in numerous unlawful retaliatory practices against Plaintiff in violation of the ADA, 42 U.S.C. § 12203(a), because he requested reasonable accommodation for his protected disability and was forced to take medical leave.

8

- 42. Defendants retaliated against Plaintiff when Defendant Giever refused Plaintiff's accommodation request, although Defendant Giever had been aware of Plaintiff's need for accommodation since August of 2017, and the NMSU OIE refused to deal with the request expeditiously. Moreover, just five (5) days after Plaintiff was forced to take medical leave, Defendant Pontelli informed Plaintiff that neither Defendant Pontelli nor the Committee would support Plaintiff's application for promotion and tenure.
- 43. Additionally, Defendant Giever took away one of Plaintiff's usual courses just five (5) days after Plaintiff returned from medical leave. In connection with Plaintiff's workload during the semester in which Plaintiff took medical leave, Defendant Giever also demanded that Plaintiff sign a falsified allocation of effort form misrepresenting Plaintiff's workload. Finally, Defendants unlawfully terminated Plaintiff's employment.

### COUNT III Retaliation in Violation of the FMLA, 29 U.S.C. § 2615(a)

- 44. The allegations set forth above are re-alleged and incorporated herein by reference.
- 45. Defendants have engaged in unlawful retaliatory practices against Plaintiff in violation of the FMLA, 29 U.S.C. § 2615(a), because Plaintiff opposed Defendant Giever's unlawful demand that Plaintiff falsify a report on Plaintiff's conditions of employment, namely Plaintiff's workload during the Spring 2018 semester following Plaintiff's return from FMLA leave.
- 46. Defendant Giever retaliated against Plaintiff by threatening Plaintiff with disciplinary action, including earlier termination of employment.

### COUNT IV Retaliation in Violation of the NMWPA, NMSA, §10-16C-3

- 47. The allegations set forth above are re-alleged and incorporated herein by reference.
- 48. Defendants have engaged in numerous unlawful retaliatory practices against Plaintiff in

violation of the NMWPA, NMSA, § 10-16C-3, because Plaintiff communicated information to Defendants and the Consultants and testified before the NMSU OIE about actions and failures to act that Plaintiff in good faith believed to be unlawful or improper acts. Additionally, Plaintiff refused to obey Defendant Giever's demand that Plaintiff falsely report his allocation of effort for the Spring 2018 semester.

49. In retaliation for these protected acts, the Committee issued an inaccurate and disparaging report on Plaintiff's scholarship work and subjected Plaintiff to unfavorable treatment through inconsistent application of NMSU policies and procedures. Further, Defendant Pontelli's decisions to ignore Plaintiff's concerns regarding a hostile work environment and retaliatory behavior of his peers affected Plaintiff's promotion and tenure process, which ultimately resulted in the denial of Plaintiff's promotion and tenure and his termination of employment.

### COUNT V Retaliation in Violation of the NMHRA, NMSA, § 28-1-7(I)

- 50. The allegations set forth above are re-alleged and incorporated herein by reference.
- Defendants engaged in unlawful retaliatory practices against Plaintiff in violation of the NMHRA, NMSA, § 28-1-7(I), because Plaintiff opposed Defendants' unlawful discriminatory practices and filed complaints reporting those practices.
- 52. Defendants unlawfully retaliated against Plaintiff by issuing factually inaccurate and disparaging reports on his scholarship work, denying Plaintiff promotion and tenure, and terminating his employment.

# COUNT VI Discrimination and Interference with Rights in Violation of the ADA, 42 U.S.C. §§ 12112(a) and 12203(b)

- 53. The allegations set forth above are re-alleged and incorporated herein by reference.
- 54. Defendants discriminated against Plaintiff in violation of the ADA, 42 U.S.C. § 12112(a),

by limiting Plaintiff's workload, his status, and ultimately discharging him because of his disability.

- Defendants informed Plaintiff of the denial of Plaintiff's promotion and tenure just five (5) days after Plaintiff went on medical leave. Defendants also removed one of Plaintiff's courses for the Spring 2018 semester just five (5) days after Plaintiff returned to work following his medical leave.
- Additionally, Defendants demanded that Plaintiff falsely report zero percent (0%) class load for the entire Spring 2018 semester, even though Plaintiff was on medical leave for only a portion of that semester. Finally, Defendants terminated Plaintiff's employment.
- 57. Further, Defendants interfered with Plaintiff's ADA rights in violation of 42 U.S.C. § 12203(b) by unreasonably obstructing and delaying Plaintiff's attempts to obtain reasonable accommodation for his disability.
- Despite Plaintiff's repeated requests for reasonable accommodation for his protected disability, he had to wait for approval nearly six (6) months after submitting the request to the OIE and nearly eight (8) months after he first made the request to Defendant Giever.
- 59. Finally, the OIE did not actually provide the accommodation until the Fall 2018 semester, nearly one year after Plaintiff's OIE request.

### COUNT VII Interference with Rights in Violation of the FMLA, 29 U.S.C. § 2615(a)

- 60. The allegations set forth above are re-alleged and incorporated herein by reference.
- Defendants interfered with Plaintiff's FMLA rights in violation of 29 U.S.C. § 2615(a) by refusing to restore his previous conditions of employment upon his return from medical leave. As noted above, Defendants removed one of Plaintiff's courses for the Spring 2018 semester just five (5) days after Plaintiff returned to work following his medical leave.

62. Defendants also demanded that Plaintiff falsely report a zero percent (0%) class load for the entire Spring 2018 semester, even though Plaintiff was on medical leave for only a portion of that semester. Finally, Defendants terminated Plaintiff's employment.

### COUNT VIII Discrimination in Violation of the NMHRA, NMSA, §§ 28-1-7(A) and (J)

- 63. The allegations set forth above are re-alleged and incorporated herein by reference.
- 64. Defendants unlawfully discriminated against Plaintiff in violation of the NMHRA, NMSA, § 28-1-7(A), by discriminating against him because of his serious medical condition.
- Defendants informed Plaintiff of the denial of Plaintiff's promotion and tenure just five (5) days after Plaintiff went on medical leave. Defendants also removed one of Plaintiff's courses for the Spring 2018 semester just five (5) days after Plaintiff returned to work following his medical leave.
- Additionally, Defendants demanded that Plaintiff falsely report zero percent (0%) class load for the entire Spring 2018 semester, even though Plaintiff was on medical leave for only a portion of that semester. Further, Defendants terminated Plaintiff's employment.
- 67. Additionally, Defendants violated the NMHRA, NMSA, § 28-1-7(J), by refusing and failing to accommodate Plaintiff's serious medical condition.
- 68. Despite Plaintiff's repeated requests for reasonable accommodation for his protected disability, he had to wait for approval nearly six (6) months after submitting the request to the OIE and nearly eight (8) months after he first made the request to Defendant Giever.
- 69. Further, the OIE did not actually provide the accommodation until the Fall 2018 semester, nearly one year after Plaintiff's OIE request.

#### PRAYER FOR RELIEF

WHEREFORE, on each and every count of this Complaint, Plaintiff requests that the Court

enter judgment in his favor and against Defendants as follows:

70. Award all appropriate monetary and equitable relief, including the value of lost

employment benefits, to Plaintiff, in an amount to be determined at trial in order to make him

whole for the losses he suffered as a result of the illegal conduct alleged in this Complaint;

71. Award Plaintiff any prejudgment interest on the amount of lost wages and benefits

determined to be due;

72. Award compensatory damages to Plaintiff to fully compensate him for the pain and

suffering caused by the illegal conduct alleged in this Complaint;

73. Award such additional relief as justice may require, together with Plaintiff's costs and

disbursements in this action;

74. Award Plaintiff punitive damages; and

75. Such further relief as the Court deems proper and the law allows.

Respectfully submitted,

LAW OFFICES OF ERIKA E. ANDERSON, LLC

By: <u>/s/ Erika E. Anderson</u>

Erika E. Anderson 2025 Rio Grande Blvd. NW Albuquerque, NM 87104

(505) 944-9039

erika@eandersonlaw.com

Attorney for Plaintiff

13

FILED
3rd JUDICIAL DISTRICT COURT
Dona Ana County
12/27/2019 8:17 AM
DAVID S. BORUNDA
CLERK OF THE COURT
Ashley L. Barreras

STATE OF NEW MEXICO COUNTY OF DONA ANA THIRD JUDICIAL DISTRICT COURT

FRANCISCO ALATORRE,

Plaintiff,

V. CaseNo. CV 2019-3322

Judge

NEW MEXICO STATE UNIVERSITY, et al,

Marci E. Beyer

Defendant.

# ORDER REQUIRING SCHEDULING REPORTS, A DISCOVERY PLAN, EXPERT WITNESS DISCLOSURE, AND LIMITING STIPULATIONS TO ENLARGE TIME FOR RESPONSIVE PLEADINGS

#### IT IS SO ORDERED:

- A. Plaintiff shall serve a copy of this order on each defendant with the summons and complaint and file a certificate of such service. Parties other than plaintiffs who assert claims against others who have not been served with this order shall serve a copy of this order on those against whom they assert claims with the pleading asserting such claims and shall file a certificate of such service.
- B. Within sixty (60) calendar days after the initial pleading is filed, parties of record shall file a scheduling report with copies to opposing parties and the assigned judge. Parties shall confer and are encouraged to file a Joint Scheduling Report, LR3-Form 2.12 NMRA for Track A or LR3-Form 2.13 for Tracks Band C, or, if they cannot agree, file an individual Scheduling Report, LR3-Form 2.13 NMRA. See copies of forms attached hereto.
- C. Any party who enters an appearance in the case more than sixty (60) calendar days after the filing of the initial pleading shall file a scheduling report within ten (10) business days and deliver a copy to the assigned judge.

- D. If all parties are not of record within sixty (60) calendar days of the filing of the initial pleading, the party making claims against the absent parties (*Plaintiff for Defendants, Third-Party Plaintiffs for Third-Party Defendants, etc.*) shall, within five (5) business days after the sixtieth (60ht) day, file and serve parties of record and deliver to the assigned judge, a written explanation why the case is not at issue and how much time is needed before the case will be at issue. The notice shall be titled "Delay in Putting the Matter at Issue."
- E. Counsel or parties who do not have attorneys may not stipulate to an enlargement of time greater than fourteen (14) calendar days for the filing of a responsive pleading without a motion and order. The motion shall state with particularity the reason(s) an enlargement is in the best interests of the parties. A copy of the motion and stipulation shall be delivered to all parties as well as counsel. The enlargement requested shall be for a specified time.
- F. When all parties have been joined and the case is at issue, the parties shall immediately notify in writing the assigned judge and the alternative dispute resolution coordinator.
- G. If appropriate, the court will refer this matter to settlement facilitation under Part VI of the Local
   Rules of the Third Judicial District Court.
- H. Within seventy-five (75) calendar days from the date the initial pleading is filed, or fifteen (15) calendar days after the parties alert the Court that the case is at issue, the parties shall either:
  - (I) stipulate to a discovery plan and file the stipulation with the court, or
  - (2) request a hearing to establish a discovery plan pursuant to Paragraph F of Rule 1-026 NMRA.
  - (3) In the absence of a stipulated discovery plan or a timely request from a party for a hearing to establish a discovery plan, the following plan shall go into effect:

    Within one hundred (I 00) calendar days after the initial pleading was filed or fifteen (15) calendar days after a party has entered the suit, whichever is the later date, each party shall provide to all other parties:
    - a. The name and, if known, the address and telephone number of each individual likely to have discoverable information relevant to disputed issues raised by the pleadings, identifying the subjects of the information;

- b. A copy of, or a description by category and location of, all documents, data compilations, and tangible things in the possession, custody, or control of the party that are relevant to disputed issues raised by the pleadings;
- c. A computation of any category of damages claimed by the disclosing party, providing copies or making available for inspection and copying the documents or other evidentiary materials and medical records and opinions, not privileged or protected from disclosure, on which such computation is based, including materials bearing on the nature and extent of injuries suffered;
- d. For inspection and copying, any insurance agreement under which any person carrying on an insurance business may be liable to satisfy part or all of a judgment which may be entered in the action or to indemnify or reimburse for payments made to satisfy the judgment;
- e. If the medical condition of a party is at issue, such party shall give a medical release authorization to opposing parties. The parties shall confer regarding the nature and extent of the release and stipulate, if possible. If the parties cannot agree, each party shall file a memorandum with a proposed medical release authorization advocating that party's proposed form to the court. A copy of the memorandum and proposed form shall be delivered to the assigned judge. Rule 1-007.1 NIMRA shall apply.
- I. Pursuant to Rule 1-026(E) NMRA, parties shall reasonably supplement discovery required in Subparagraphs (3)(a) through (e) of Paragraph Hof this Order.
- J. Intent to Call Expert Witness Disclosure. All parties shall exchange a "Notice ofIntent to Call Expert Witness(es)" listing the names, addresses and phone numbers for all anticipated experts, including a brief summary of the subject matter of each witness' testimony. If an expert has not yet been identified by a party, the parties must list the specialized area(s) in which an expert is anticipated to be retained and a brief summary of the areas or issues on which the expert is expected to testify.

#### Case 2:20-cv-00091-GJF-GBW Document 1 Filed 01/30/20 Page 34 of 44

With respect to each expert listed, all parties are to observe their continuing duty to timely supplement discovery and shall further abide by the requirements of Section 8 of the attachment to the Rule 16(B) Scheduling Order.

Delivered to Plaintiff on December 27, 2019

David S. Borunda Clerk of the District Court

DeputyAshley Barreras

LR3-Form 2.12

Supreme Court Approved August 6, 2004

STATE OF NEW MEXICO COUNTY OF DONA ANA THIRDJUDICIAL DISTRICT COURT

Plaintiff

VS.

NO.: D-307-CV

Judge:

Defendant

#### JOINT SCHEDULING REPORT STIPULATING TO TRACK A

Come now all the parties to this case, (by their counsel of record) and stipulate as follows:

- 1 The court has subject matter and personal jurisdiction, and venue is proper.
- 2 This case is appropriate for assignment to Track A
- 3 The parties do not intend to amend the pleadings or file dispositive motions
- 4 All parties will be ready for trial by \_\_\_\_\_\_\_(no more than six (6) months from filing of complaint)
- 5 Witness lists will be exchanged and filed forty-five (45) days before trial
- 6 Discovery limited to interrogatories, requests for production and admission and no more than two (2) depositions per party.
- All parties and counsel will either (a) select a facilitator by agreement of the parties, or
  (b) request the court's ADR coordinator to select a facilitator and will engage in a
  settlement conference within ninety (90) days from the date of the filing of the complaint.

  The parties may move for enlargement of time for the settlement conference for good
  cause shown The parties shall share the facilitator's fee, if any, equally.

8.	8. Exhibits: exchanged at least fifteen (15) days before trial.						
	This Gury	6	12 nonjury	) matter will take	hours to try.		
9.	_		- ,	licts which show good car	- ,		
	for two (2) mo	nths follo	owing the date th	e matter is ready for trial	: 		
10.	Other:						
SUBM	IITTED BY:						
Name of party: Attorney: Address:							
Teleph	one Number						
Name Attorne Addres	•						
Teleph	one Number						
			CERTIFICATI	E OF MAILING			
				r faxed a copy to the assi			
			Signature				

LR3-Form 2.13. (	's) Goint) sched	_'s) Goint) scheduling report.				
STATE OF NEW MEXICO COUNTY OF DONA ANA THIRD JUDICIAL DISTRIC	T COURT					
	, Plaintiff					
VS.		NO D 207	CV			
	D 0 1	NO.: D-307- Judge:	CV			
	, Defendant					
(	'S) (JOINT) S	CHEDULING R	EPORT			
1. This case should be ass	signed to Track					
1. This case should be ass 2. Jurisdiction and Venue Why:	e:Stipulated;	Dis	sputed;			
<ul><li>3 NonJury;</li><li>4 . Significant legal issu</li></ul>	; 6-personjuues, if any:	ıry;	_12-person jury. 			
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	tly known (defendant's, pl					
6 . Settlement						
	e sufficient information to	evaluate the case.				
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	d the following information		to evaluate the			
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[I] [We] nee	ed the following discovery	to obtain inform	ation sufficient to			
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	(facilitator) or	have requested	the court's ADR			
coordinator to refer to						
[I] [We] req	Or uest that this not be referred	ed to facilitation	because:			
The possibility of set	tlement is good.	fair.	poor.			

• 7. ]	Discovery:							
	[I] [We] estimate it will takemonths to complete discovery. (Attach discovery							
	plan if stipulated, or request for setting a discovery conference if wanted) If any party							
	requests a discovery conference, answer the following:							
	The party submitting this scheduling report intends to do the following discovery:							
	(If this is a joint scheduling report, each party shall answer this question.)							
	[Plaintiff] [Defendant] intends to do the following discovery:							
8.	[I] [We] estimate that trial will take court days to try-							
9.	Dates counsel will not be available for trial due to the following conflicting court settings (beginning with the date immediately following the time you estimate discovery will be							
	completed)							
10	. Stipulations:							
1 1.	Other:							
SUBM	ITTED BY:							
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	CERTIFICATE OF MAILING							
	EBY CERTIFY that I mailed, delivered or faxed a copy to the assigned judge and each party							
or each	party's attorney on the day of20							
	Signature							

FILED
3rd JUDICIAL DISTRICT COURT
Dona Ana County
1/17/2020 10:56 AM
DAVID S. BORUNDA
CLERK OF THE COURT
Claudine Bernal

THIRD JUDICIAL DISTRICT COURT COUNTY OF DOÑA ANA STATE OF NEW MEXICO

FRANCISCO ALATORRE,

Plaintiff,

٧.

D-307-CV-2019-03322 Judge Marci Beyer

NEW MEXICO STATE UNIVERSITY, ENRICO PONTELLI, and DENNIS GIEVER, as employees of New Mexico State University,

Defendants.

#### ACCEPTANCE OF SERVICE

MYNATT MARTÍNEZ SPRINGER P.C. (Bradley A. Springer), on behalf of New Mexico State University Enrico Pontelli, and Dennis Giever, hereby accepts service of Plaintiff's Civil Complaint for Retaliation, Discrimination, and Interference with Protected Rights, and hereby waives the service of formal process upon said Defendants in the above-entitled cause.

DATED this Laday of January, 2020.

Respectfully submitted,

MYNATT MARTÍNEZ SPRINGER P.C.

BRADLEY A. SPRINGER

New Mexico Bar No. 28225

ALAN J. DAHL

New Mexico Bar No. 148072

P.O. Box 2699

Las Cruces, NM 88004

(575) 524-8812-Phone

(575) 524-0726-Fax

bas@hmm-law.com

ajd@hmm-law.com

Attorneys for Defendants

**CERTIFICATE OF SERVICE** 

I certify that, on day of January 2020, a copy of the foregoing pleading was served on the below counsel of record via the Odyssey e-file system and electronic mail:

Erika E. Anderson LAW OFFICES OF ERIKA E. ANDERSON, LLC 2025 Rio Grande Blvd NW Albuquerque, NM 87104 erika@eandersonlaw.com Attorneys for Plaintiff

BRADLEY A. SPRINGER

ALAN J. DAHL

1/29/2020

Caselookup - Case Detail

### New Mexico Courts

#### Case Lookup

Name Search Case Number Search DWI Search Case Detail Francisco Alatorre v. New Mexico State University, et. al. CURRENT JUDGE FILING DATE COURT D-307-CV-201903322 LAS CRUCES DISTRICT Beyer, Marci 12/26/2019 PARTY TYPE PARTY DESCRIPTION PARTY # PARTY NAME D Defendant NEW MEXICO STATE UNIVERSITY D Defendant 2 PONTELLI ENRICO Defendant D 3 GIEVER DENNIS Plaintiff ALATORRE FRANCISCO ATTORNEY: ANDERSON ERIKA COMPLAINT SEQ COMPLAINT DISPOSITION DESCRIPTION DATE OPN: COMPLAINT 12/27/2019 COA SEQUENCE COA DESCRIPTION Statutes, Ordinance Violations, Miscellaneous 1 PARTY NAME PARTY TYPE PARTY # REGISTER OF ACTIONS ACTIVITY EVENT PARTY EVENT DATE **EVENT DESCRIPTION** AMOUNT RESULT 01/17/2020 ACCEPTANCE OF SERVICE Acceptance of Service - Defendant accepts service of Plaintiffs Civil Complaint for Retaliation, Discrimination, and interference with Protected on 1/17/20 01/03/2020 DCM (Differentiated Case Management) 12/27/2019 Scheduling Order

12/26/2019 OPN: COMPLAINT

Rights

ORDER REQUIRING SCHEDULING REPORTS A DISCOVERY PLAN, EXPERT WITNESS DISCLOSURE, AND LIMITING STIPULATIONS TO ENLARGE TIME FOR RESPONSIVE

Civil Complaint for Retaliation, Discrimination, and Interference With Protected

		ASSIGNMENT		
ASSIGNMENT DAT	E JUDGE NAME	SEQUENCE #	ASSIGNMENT EVENT DESCRIPTION	
12/26/2019	Beyer, Marci	1	INITIAL ASSIGNMENT	
Return Print				
		en i eller en James neuer neuer neuer en der besteren er en en eller et de en euerpearen.		

©2007 New Mexico Courts

#### Case 2:20-cv-00091-GJF-GBW Document 1 Filed 01/30/20 Page 43 of 44

JS 44 (Rev. 09/19)

#### **CIVIL COVER SHEET**

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

I. (a) PLAINTIFFS Francisco Alatorre			DEFENDANTS New Mexico State University Enrico Pontelli					
					Dennis			
(b) County of Residence of First Listed Plaintiff Maricopa, Arizona (EXCEPT IN U.S. PLAINTIFF CASES)				County of Residence	County of Residence of First Listed Defendant Doña Ana, New			ico
		5.10.35)		NOTE: IN LAND C THE TRAC		ION CASES, USE T	THE LOCATION OF	
(C) Attorneys (Firm Name, Addre.	Eril	Offices of Erika E. Anderso ka Anderson	n, LLC	Attorneys (If Known)	Mynatt Mart Bradley A. S Alan J. Dahl			
	Albi	15 Rio Grande Blvd. NW uquerque, NM 87104 (5) 944-9039			P.O. Box 269 Las Cruces, 1 (575) 524-88	99 NM 88004		
II. BASIS OF JURISD	ICTION (Place an "X" in	One Box Only)					(Place an "X" in One Box for	Plaintif
☐ 1 U.S. Government			,	(For Diversity Cases Only) P	TF DEF		and One Box for Defendant, PTF I	() DEF
Plaintiff	(U.S. Governmen	t Not a Party)	Citize	en of This State	ו ס ו ס	Incorporated or Proof Business In		<b>1</b> 4
<ul> <li>2 U.S. Government Defendant</li> </ul>	☐ 4 Diversity (Indicate Citizens.	hip of Parties in Item III)	Citize	en of Another State	J 2 🗇 2	Incorporated and of Business In		<b>5</b>
				en or Subject of a	3 0 3	Foreign Nation	<b>□</b> 6 0	<b>5</b> 6
IV. NATURE OF SUIT							of Suit Code Descriptions.	
CONTRACT  ☐ 110 Insurance	PERSONAL INJURY	ORTS  PERSONAL INJURY		RFEITURE/PENALTY		KRUPTCY	OTHER STATUTES	
☐ 120 Marine ☐ 130 Miller Act	☐ 310 Airplane ☐ 315 Airplane Product	<ul> <li>365 Personal Injury - Product Liability</li> </ul>		5 Drug Related Seizure of Property 21 USC 881 0 Other	☐ 423 With	al 28 USC 158 drawal SC 157	☐ 375 False Claims Act ☐ 376 Qui Tam (31 USC 3729(a))	
<ul> <li>☐ 140 Negotiable Instrument</li> <li>☐ 150 Recovery of Overpayment</li> </ul>	Liability  320 Assault, Libel &	☐ 367 Health Care/ Pharmaceutical			PROPEI	RTY RIGHTS	☐ 400 State Reapportionmer☐ 410 Antitrust	nt
& Enforcement of Judgment  151 Medicare Act	Slander  ☐ 330 Federal Employers'	Personal Injury Product Liability			☐ 820 Copy ☐ 830 Paten	rights	☐ 430 Banks and Banking ☐ 450 Commerce	
152 Recovery of Defaulted	Liability	☐ 368 Asbestos Personal			☐ 835 Paten	t - Abbreviated	☐ 460 Deportation	
Student Loans (Excludes Veterans)	☐ 340 Marine ☐ 345 Marine Product	Injury Product Liability			New B40 Trade	Drug Application mark	☐ 470 Racketeer Influenced Corrupt Organizations	
☐ 153 Recovery of Overpayment of Veteran's Benefits	Liability  350 Motor Vehicle	PERSONAL PROPER		LABOR Fair Labor Standards		SECURITY	☐ 480 Consumer Credit	
☐ 160 Stockholders' Suits	☐ 355 Motor Vehicle	371 Truth in Lending		Act	☐ 862 Black	Lung (923)	(15 USC 1681 or 169 485 Telephone Consumer	2)
☐ 190 Other Contract ☐ 195 Contract Product Liability	Product Liability  360 Other Personal	☐ 380 Other Personal Property Damage	720	Labor/Management Relations	☐ 863 DIW	C/DIWW (405(g)) Title XVI	Protection Act ☐ 490 Cable/Sat TV	
☐ 196 Franchise	Injury  ☐ 362 Personal Injury -	☐ 385 Property Damage Product Liability		Railway Labor Act Family and Medical	☐ 865 RSI (		☐ 850 Securities/Commoditie	es/
	Medical Malpractice		ti ti	Leave Act			Exchange  890 Other Statutory Actions	s
REAL PROPERTY  210 Land Condemnation	CIVIL RIGHTS  440 Other Civil Rights	PRISONER PETITION: Habeas Corpus:		Other Labor Litigation Employee Retirement		L TAX SUITS (U.S. Plaintiff	☐ 891 Agricultural Acts ☐ 893 Environmental Matters	·c
☐ 220 Foreclosure	441 Voting	☐ 463 Alien Detainee		Income Security Act	or De	fendant)	☐ 895 Freedom of Information	
<ul><li>230 Rent Lease &amp; Ejectment</li><li>240 Torts to Land</li></ul>	☐ 442 Employment ☐ 443 Housing/	☐ 510 Motions to Vacate Sentence			□ 871 IRS— 26 US	-Third Party SC 7609	Act ☐ 896 Arbitration	
245 Tort Product Liability	Accommodations	☐ 530 General		W. W. C. L. L. C.			☐ 899 Administrative Proced	
☐ 290 All Other Real Property	Employment Other:		IMMIGRATION  ☐ 462 Naturalization Application				Act/Review or Appeal of Agency Decision	
	☐ 446 Amer. w/Disabilities - Other	☐ 540 Mandamus & Other ☐ 550 Civil Rights	□ 465	Other Immigration Actions			☐ 950 Constitutionality of State Statutes	
	☐ 448 Education	☐ 555 Prison Condition		7 10110113			State Statutes	
		560 Civil Detainee - Conditions of						
V. ORIGIN (Place an "X" in	One Box Only)	Confinement						_
□ 1 Original 🔀 2 Rem	noved from	Remanded from Appellate Court	4 Reinst Reope	ated or 5 Transfer ned Another (specify)	rred from District	☐ 6 Multidistri Litigation Transfer		t
VI. CAUSE OF ACTIO	1 28 H S C 88 133	tute under which you are 1 and 1441 (a)	filing (Do	not cite jurisdictional statu	ites unless div	ersity):		
VI. CAUSE OF ACTIO	Differ description of ca	<sub>use:</sub> ts Violation and Tort	Claim					
VII. REQUESTED IN COMPLAINT:	☐ CHECK IF THIS UNDER RULE 23	IS A CLASS ACTION B, F.R.Cv.P.	DE	MAND \$		IECK YES only i	f demanded in complaint:	
VIII. RELATED CASE	(See instructions):	ПІРСЕ			DOGUET	NUMBER		
DATE		JUDGESIGNATURE OF ATTO	RNEY OF	RECORD	DOCKET	NUMBER		
1/30/20 ALLChO								
FOR OFFICE USE ONLY  RECEIPT # AMO	DUNT	APPLYING IFP		JUDGE		MAG. JUDO	3E	

#### INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I.(a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.Cv.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.
  United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here. United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.
  Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.
  Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; NOTE: federal question actions take precedence over diversity cases.)
- III. Residence (citizenship) of Principal Parties. This section of the JS 44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If there are multiple nature of suit codes associated with the case, pick the nature of suit code that is most applicable. Click here for: Nature of Suit Code Descriptions.
- V. Origin. Place an "X" in one of the seven boxes.
  - Original Proceedings. (1) Cases which originate in the United States district courts.
  - Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.
  - Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date. Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a). Do not use this for within district transfers or multidistrict litigation transfers.
  - Multidistrict Litigation Transfer. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407.
  - Multidistrict Litigation Direct File. (8) Check this box when a multidistrict case is filed in the same district as the Master MDL docket.

    PLEASE NOTE THAT THERE IS NOT AN ORIGIN CODE 7. Origin Code 7 was used for historical records and is no longer relevant due to changes in statue.
- VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. Do not cite jurisdictional statutes unless diversity. Example: U.S. Civil Statute: 47 USC 553 Brief Description: Unauthorized reception of cable service
- VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

  Demand. In this space enter the actual dollar amount being demanded or indicate other demand, such as a preliminary injunction.

  Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.
- VIII. Related Cases. This section of the JS 44 is used to reference related pending cases, if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.